	UNIVERSAL CERTIFICATION AND MANAGEMENT PRIVATE LIMITED	Format No.	UCMPL-F-01
		Rev. No.	00
	Application Form	Date	01.11.2024

Date of Application	
Name of the Company	
Address	
Website, Email and Phone number	
No of Sites	
Site 1 Address (For more site attach separate Sheet)	
Contact Person Name and Designation	
Legal Status	Company: Private ☐ Public ☐ Proprietorship ☐ Partnership ☐ Govt Undertaken ☐ PSU ☐ NGO ☐ Other ☐
Statutory and Regulatory Requirement	
Accreditation Required	IAS ☐
Certification Scheme	ISO 9001:2015 ☐ ISO 14001:2015 ☐ ISO 45001:2018 ☐ ISO 27001:2022 ☐ ISO 13485:2016 ☐
Scope of Certification	
Exclusion if any	Clause　　Justification
Outsourced Process, If any	

No. of Employees	Location	Shifts	Full Time	Part time	Performing Same type of Job	Temporary Unskilled workers	Any Other Workers
	At Organization						
	At Site						
	TOTAL						

Certification Program Required	Initial ☐ Surveillance ☐ Recertification ☐ Transfer ☐
Is Already Certified for any Standard	Yes ☐ No ☐ If Answer is Yes Mention Name of the Standard:
Is Consultants Involved	Yes ☐ No ☐ If Answer is Yes Mention Name of the Consultants:
Key Business Process Involved	
Applicable for MD-QMS Only	**Details of the Product Categories and Out Sourced Process {If Any}:**

![UCM Logo]	**UNIVERSAL CERTIFICATION AND MANAGEMENT PRIVATE LIMITED**	**Format No.**	UCMPL-F-01
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EMS	How many Sites the company is Managing at the same time?	
	Do you have Register of Significant Environment aspect?	☐ Yes ☐ No
	Do you have an Environmental Management Manual?	☐ Yes ☐ No
	Do you have an Internal Environmental Audit Programme?	☐ Yes ☐ No
	Has the Internal Environmental Audit Programme been implemented?	☐ Yes ☐ No
	Any Environmental Accident Occur during last 05 years?	☐ Yes ☐ No
	Is there any Potential Emergency Situation for EMS? Explain {If yes}	☐ Yes ☐ No
OHSMS	Hazard's Identified? ☐ Yes ☐ No	
	Detail any critical occupational health & safety risks identified?	
	Any Dangerous Substances Present? ☐ Yes ☐ No	
	Explain {If yes}:	
	Is there any Accident Occur during Last Five Years? ☐ Yes ☐ No	
	Explain {If yes}:	

For ISMS – Ref. Annex. A

DECLARATION: The above information is true to the best of my knowledge and belief and I am authorized to provide such information on behalf of the company.

Name		**Designation**		**Signature**	

UCMPL Official Use
Can the Application Proceed for Application Review: ☐ Yes   ☐ No

Name of Application reviewer		**Signature**		**Date**	

*Delete or leave whichever is not applicable

Annexure-A
Applicable for ISMS

Additional Information Required (Tick one in each box)
Critical business sectors are sector that may affect critical public services that will cause risk to health, security, economy, image and governmental ability to function that may have a very large negative impact to country

Any Confidential Information where Issues of Accessibility is there? {If Any}	
Is there any other Controls Established other than ISO 27001:2022, Annex.01 or You have followed any other Standard for the Applicability of Controls	

Business and organization Complexity

Types of Business and regulatory Requirement	1. Organization work in non-critical business sector and non-regulated sector ☐ 2. Organization has customer in critical business sector. ☐ 3. Organization works in critical business sector. ☐

Process and Task	1. Standard Process with standard and repetitive task i.e., lots of persons doing work under the organization's control carrying out the same tasks, few products or services ☐
	2. Standard but not repetitive process with high number of products or services ☐
	3. Complex Process, High number of products and services, many business units included in scope of certification ☐
Level of establishment of the Management System	1. ISMS is already well established and/or other management system is in place. ☐
	2. Some elements of other Management system are implemented, others not ☐
	3. No other Management system implemented at all, ISMS is new and not established. ☐

IT Environment Complexity

IT Infrastructure Complexity	1. Few or highly standardized IT platforms, servers, operating system, database, networks etc. ☐
	2. Several different IT platforms, servers, operating system, database, networks etc. ☐
	3. Many different IT platforms, servers, operating system, database, networks etc. ☐
Dependency on outsourcing and suppliers including cloud services	1. Little or no dependency on outsourcing ☐
	2. Some dependency on outsourcing or suppliers, related to some but not all-important business activities. ☐
	3. High dependencies on outsourcing or supplier, large impact on important business activities. ☐
Information System Development	1. Non or very limited in-house system/application development ☐
	2. Some in house or outsourced system/application development for some important business purpose ☐
	3. Extension in house or outsourced system/application development for important business purpose ☐

For ISMS, Please Write the Details of Employees if applicable

Description of the number of persons engaged in the activity or Process	

Details of Employees Fall under the Category mentioned below

persons with read-only access to information to perform their duties;	
persons with no access to the organization's information processing facilities in scope of the ISMS;	
persons who have specific demonstrable restricted access to the company's information processing facilities in scope of the ISMS;	
persons who perform activities where strict limitations are implemented to restrict disclosure of information, e.g. measures prohibiting personal belongings and devices into the work area.	